

FORM NL-9-PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING

[As certified by the Management]

Shareholder	As at June 30, 2023		As at June 30, 2022	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
· Indian	2,407.39	65.39	2,407.39	65.39
· Foreign	1,274.43	34.61	1,274.43	34.61
Investors*				
· Indian				
· Foreign				
Others (to be specified e.g. ESOP etc.)				
TOTAL	3,682	100.00	3,682	100.00

*Investors as defined under IRDAI (Transfer of Equity Shares of Insurance Companies) Regulations, 2015 and as amended from time to time

DETAILS OF EQUITY HOLDING OF INSURERS

PART A:

PARTICULARS OF THE SHAREHOLDING PATTERN OF THE UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LTD
INSURANCE COMPANY, AS AT QUARTER ENDED JUNE 30, 2023

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
ii)	Bodies Corporate: Dabur Investment Corporation (Partnership Firm)	1	4,71,47,727	12.81	4,714.77			1,21,47,727	25.77
iii)	Financial Institutions/ Banks								
	(i) Indian Bank	4	10,50,00,000	28.52	10,500.00				
	(ii) Indian Overseas Bank	2	6,65,00,000	18.06	6,650.00				
	(iii) Karnataka Bank Limited	1	2,20,90,910	6.00	2,209.09				
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
ii)	Bodies Corporate: (i) Sompo Japan Insurance Inc	1	12,74,43,183	34.61	12,744.32	-	-	3,64,43,183	28.60
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds								
ii)	Foreign Portfolio Investors								
iii)	Financial Institutions/Banks								
iv)	Insurance Companies								
v)	FII belonging to Foreign promoter								
vi)	FII belonging to Foreign Promoter of Indian Promoter								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund								
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs								
ii)	Individual share capital in excess of Rs. 2 Lacs								
iii)	NBFCs registered with RBI								
iv)	Others:								
	- Trusts								
	- Non Resident Indian								
	- Clearing Members								
	- Non Resident Indian Non Repariable								
	- Bodies Corporate								
	- IEPF								
v)	Any other (Please Specify)								
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		9	36,81,81,820	100.00	36,818.18			4,85,90,910	13.20

Foot Notes:

(a) All holdings, above 1% of the paid up equity, have to be separately disclosed.

(b) Indian Promoters - As defined under Regulation 2(1)(g) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000

(c) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

PART B:

Name of the Indian Promoter / Indian Investor:

1. INDIAN BANK

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India	1	99,45,49,600	79.86	99,454.96	-	-	-	-
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	19	9,82,44,395	7.89	9,824.44	-	-	-	-
ii)	Foreign Portfolio Investors	187	4,93,40,553	3.96	4,934.06	-	-	-	-
iii)	Financial Institutions/Banks	2	248	0.00	0.02	-	-	-	-
iv)	Insurance Companies	8	4,18,28,595	3.36	4,182.86	-	-	-	-
v)	FII belonging to Foreign Promoter #								
vi)	FII belonging to Foreign Promoter of Indian Promoter #								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund	9	39,67,941	0.32	396.79	-	-	-	-
ix)	Any other (Please specify)								
	-Foreign Institutional Investors	7	4,67,842	0.04	46.78	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	1	4,021	0.00	0.40	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	2,82,542	3,62,52,682	2.91	3,625.27	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	106	65,63,903	0.53	656.39	-	-	-	-
iii)	NBFCs registered with RBI								
iv)	Others:								
	- Trusts	21	92,228	0.01	9.22	-	-	-	-
	- Non Resident Indian (NRI)	3,142	16,72,792	0.13	167.28	-	-	-	-
	- Clearing Members	11	7,556	0.00	0.76	-	-	-	-
	- Non Resident Indian Non Repatriable								
	-Foreign Nationals	-	-	0.00	0.17	-	-	-	-
	- Bodies Corporate	1,021	32,48,985	0.26	324.90	-	-	-	-
	- IEPF								
v)	Any other (Please Specify)								
	Associates Company/ Subsidiaries	1	5,100	0.00	0.51	-	-	-	-
	Directors and Relatives (Excluding Nomin)	3	2,680	0.00	0.27	-	-	-	-
	Key Managerial Personal	2	2,075	0.00	0.21	-	-	-	-
	Escrow Account	2	3,862	0.00	0.37	-	-	-	-
	Unclaimed Shares/Escrow Account 5A								
	Employee	2,634	6,10,862	0.05	61.09	-	-	-	-
	Directors & Relatives	2	500	0.00	0.05	-	-	-	-
	HUF	3,183	12,82,851	0.10	128.29	-	-	-	-
	-ESOP/ESOS/ESPS	21,282	72,91,868	0.59	729.19	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		3,14,186	1,24,54,41,139	100.00	1,24,544.27				

Foot Notes:

- (a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
 (b) Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
 (c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
 (d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
 (e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.
 (f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner /foreign investor of the Indian insurance company.

Name of the Indian Promoter / Indian Investor:									
2. INDIAN OVERSEAS BANK									
(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)									
Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A Promoters & Promoters Group									
A.1 Indian Promoters									
i)	Individuals/HUF (Names of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India	1	18,21,83,26,570	96.38	18,21,832.66	-	-	2,46,54,23,932	13.53
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2 Foreign Promoters									
i)	Individuals (Name of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Any other (Please specify)								
B. Non Promoters									
B.1 Public Shareholders									
1.1)	Institutions								
i)	Mutual Funds	9	1,58,99,140	0.08	1,589.91	-	-	-	-
ii)	Foreign Portfolio Investors Category - 1	35	1,54,79,070	0.08	1,547.91	-	-	-	-
iii)	Foreign Portfolio Investors Category - 2	2	16,31,766	0.01	163.18	-	-	-	-
iv)	Banks	4	42,24,100	0.02	422.41	-	-	-	-
v)	Insurance Companies	4	46,12,178	0.02	461.22	-	-	-	-
vi)	FII belonging to Foreign Promoter #	-	-	-	-	-	-	-	-
vii)	FII belonging to Foreign promoter of Indian Promoter (e)	-	-	-	-	-	-	-	-
viii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
ix)	Alternative Investment Fund	-	-	-	-	-	-	-	-
	Any other (Please specify)								
	-Financial Institutions	1	22,80,87,393	1.21	22,808.74	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	1	112	-	0.01				
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	4,92,142	23,96,98,410	1.27	23,969.84	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	1,496	7,95,47,152	0.42	7,954.72	-	-	-	-
iii)	NBFCs registered with RBI								
iv)	Others:								
	-Trusts	16	5,22,726	0.00	52.27	-	-	-	-
	-Non Resident Indian (NRI)	3,339	88,33,135	0.05	883.31	-	-	-	-
	-Clearing Members	7	48,843	0.00	4.88	-	-	-	-
	-Foreign Companies	1	48,000	0.00	4.80	-	-	-	-
	-Foreign Nationals	1	100	0.00	0.01	-	-	-	-
	-Directors and their Relatives	1	1	-	-	-	-	-	-
	-Non Resident Indian Non Repartriabile								
	-Bodies Corporate	979	2,74,58,761	0.15	2,745.88	-	-	-	-
	-Key Managerial Personnel	1	13,264	0.00	1.33	-	-	-	-
	-IEPF								
v)	Any other (Please Specify)								
	-Unclaimed/Suspense/Escrow Account								
	-Hindu Undivided Family	3,236	87,96,575	0.05	879.66	-	-	-	-
	-Overseas Corporate Bodies	-	-	-	-	-	-	-	-
	-ESOP/ESOS/ESPS	9,111	4,91,84,960	0.26	4,918.50	-	-	-	-
B.2 Non Public Shareholders									
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		5,10,387	18,90,24,12,256	100.00	18,90,241.23			2,46,54,23,932	13.53
Foot Notes:									
(a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.									
(b) Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.									
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.									
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.									
(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									

Name of the Indian Promoter / Indian Investor:									
3. KARNATAKA BANK LTD									
(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)									
Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Any other (Please specify)								
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	6	24,67,473	0.79	246.75	-	-	-	-
ii)	Foreign Portfolio Investors	177	6,57,17,652	21.03	6,571.77	-	-	-	-
iii)	Financial Institutions/Banks	3	879	0.00	0.09	-	-	-	-
iv)	Insurance Companies	2	1,59,33,730	5.10	1,593.37	-	-	-	-
v)	FII belonging to Foreign promoter of Indian Promoter (e)								
vi)	FII belonging to Foreign promoter of Indian Promoter (e)								
vii)	Provident Fund/Pension Fund								
viii)	Alternative Investment Fund	2	3,52,336	0.11	35.23	-	-	-	-
ix)	Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	2,36,517	11,88,34,744	38.04	11,883.47	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	1,057	8,44,13,889	27.02	8,441.39	-	-	-	-
iii)	NBFCs registered with RBI	3	5,591	0.00	0.56	-	-	-	-
iv)	Others:								
	- Trusts	6	8,678	0.00	0.87	-	-	-	-
	- Non Resident Indian	3,366	75,32,684	2.41	753.27	-	-	-	-
	- Clearing Members	27	1,20,467	0.04	12.05	-	-	-	-
	- Non Resident Indian Non Repatriable	-	-	-	-	-	-	-	-
	- Bodies Corporate	954	1,58,36,014	5.07	1,583.60	-	-	-	-
	- IEPF	1	10,07,769	0.32	100.78	-	-	-	-
v)	Any other (Please Specify)								
	Unclaimed Shares/Escrow Account SA	3	1,95,914	0.06	19.59				
	Directors & Relatives	1	1,650	0.00	0.17				
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		2,42,125	31,24,29,470	100.00	31,242.95	-	-	-	-
Foot Notes:									
(a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.									
(b). Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.									
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.									
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.									
(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									

Name of the Indian Promoter / Indian Investor:									
4. DABUR INVESTMENT CORPORATION									
(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)									
Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (₹ in Lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
(I)	(II)		(III)	(IV)	(V)	Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
A Promoters & Promoters Group									
A.1 Indian Promoters									
i)	Individuals/HUF (Names of major shareholders):								
	(i) Mr. Mohit Burman			95.00	6,997.00				
	(ii) Mr. Vivek Burman			5.00	17.00				
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Financial Institutions/ Banks								
iv)	Central Government/ State Government(s) / President of India								
v)	Persons acting in concert (Please specify)								
vi)	Any other (Please specify)								
A.2 Foreign Promoters									
i)	Individuals (Name of major shareholders):								
	(i)								
	(ii)								
	(iii)								
ii)	Bodies Corporate:								
	(i)								
	(ii)								
	(iii)								
iii)	Any other (Please specify)								
B. Non Promoters									
B.1 Public Shareholders									
1.1)	Institutions								
	i) Mutual Funds								
	ii) Foreign Portfolio Investors								
	iii) Financial Institutions/Banks								
	iv) Insurance Companies								
	v) FII belonging to Foreign promoter of Indian Promoter (e)								
	vi) FII belonging to Foreign promoter of Indian Promoter (e)								
	vii) Provident Fund/Pension Fund								
	viii) Alternative Investment Fund								
	ix) Any other (Please specify)								
1.2)	Central Government/ State Government(s)/ President of India								
1.3)	Non-Institutions								
	i) Individual share capital upto Rs. 2 Lacs								
	ii) Individual share capital in excess of Rs. 2 Lacs								
	iii) NBFCs registered with RBI								
	iv) Others:								
	- Trusts								
	- Non Resident Indian								
	- Clearing Members								
	- Non Resident Indian Non Repatriable								
	- Bodies Corporate								
	- IEPF								
	v) Any other (Please Specify)								
	Unclaimed Shares/Escrow Account 5A								
	Directors & Relatives								
B.2 Non Public Shareholders									
2.1)	Custodian/DR Holder								
2.2)	Employee Benefit Trust								
2.3)	Any other (Please specify)								
Total		-	-	100.00	7,014.00	-	-	-	-
Foot Notes:									
(a) At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.									
(b) Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.									
(c) Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.									
(d) Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.									
(e) Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									
(f) Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.									